



ANNUAL STATEMENT of the ... December 31, 2016 of

COMPANY INFORMATION

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ANNUAL STATEMENT OF TRUST FUND

MEMORANDUM

CARITAS FINANCIAL PLANS, INC.

3/F Caritas Building
97 E. Rodriguez Sr. Blvd, QC

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Submitted to the

INSURANCE COMMISSION

Manila, Philippines

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For the Year Ended
31-Dec-16



(PENSION)

**ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 2016 of
CARITAS FINANCIAL PLANS, INC.**

(PENSION)

EXHIBIT 1 - BALANCE SHEET

ASSETS	Current Year	% to Total Equity	Previous Year	% to Total Equity
1 Government Securities (Schedule 1)	331,666,702	42%	356,310,499	55.77%
2 Cash in Savings/Time Deposits (Schedule 2)	64,939,238	8%	43,789,554	6.85%
3 Mutual Funds/UITF (Schedule 3)	0.00	0%	2,467,938	0.39%
4 Short Term Investments (Schedule 4)	109,493,249	14%	48,956,592	7.66%
5 Corporate Bonds (Schedule 5)	55,359,284	7%	32,922,116	5.15%
6 Mortgage Loans (Schedule 6)	0	0%		0.00%
7 Planholders' Loans (Schedule 7)	0	0%		0.00%
8 Stocks (Schedule 8)	216,114,285	28%	150,261,381	23.52%
9 Real Estate (Schedule 9)	0	0%		0.00%
10 Other Investments (Schedule 10)		0%		0.00%
11 Accrued Investment Income (Schedule 11)	5,481,505	1%	5,127,425	0.80%
12 Other Assets (Schedule 12)	9,803,579	1%	945	0.00%
13 Total Assets	792,857,842	101%	639,836,450	100.15%
LIABILITIES				
14 Accrued Trust Fees (Schedule 13)	848,088.06	0%	163,394	0.03%
15 Accrued Taxes (Schedule 14)	1,100,379.46	0%	802,585	0.13%
16 Other Liabilities (Schedule 15)	5,819,612.52	1%	2,664	0.00%
17 Total Liabilities	7,768,080	1%	968,644	0
FUND EQUITY				
18 Fund Balance (beginning)	524,196,200	67%	411,278,493	64.38%
19 Additional Contribution (current year) (Exhibit 4)	140,453,325.82	18%	112,917,707	17.67%
20 Withdrawals (current year) (Exhibit 5)	0	0%	0	
21 Adjustments (prior periods) (Schedule 1-15)	0	0%	0	
22 Fund Balance (ending)	664,649,526	85%	524,196,200	82.05%
23 Retained Earnings (beginning)	110,856,440	14%	95,773,950	14.99%
24 Net Income (Loss) - (current year) (Exhibit 2)	34,110,376.13	4%	15,082,490	2.36%
25 Retained Earnings (end)	144,966,816	18%	110,856,440	17.35%
26 Net Unrealized Income (Loss) (Schedule 1-10)	(24,526,580.72)	-3%	3,815,166	0.60%
27 Total Fund Equity	785,089,762	100%	638,867,806	100.00%
28 Total Liabilities & Fund Equity	792,857,842	101%	639,836,450	100.15%

ANNUAL STATEMENT of Trust Fund for the Year Ended December 31, 2016 of
CARITAS FINANCIAL PLANS, INC.

(PENSION)

EXHIBIT 2 - INCOME STATEMENT

INCOME	Current Year	Previous Year
1 Interest Income		
<i>Special Deposit Account</i>	2,196,127.51	1,339,776.94
<i>Deposits in Bank</i>	671,369.19	240,451.29
<i>Government Securities</i>	15,381,232.37	15,027,896.76
<i>Corporate Bonds</i>	2,036,567.08	1,315,361.77
<i>Amortised Premium/Discount Income</i>	0.00	
2 Gain on Sale of Securities	0.00	
<i>Government Securities</i>	1,577,277.91	(3,041,720.39)
<i>Stocks</i>	14,456,452.88	2,243,892.36
<i>Preferred Shares</i>	0.00	
<i>UITF - AFS-PHP</i>	126,014.96	
3 Dividend Income	0.00	
<i>Preferred Shares</i>	0.00	109,757.82
<i>Stocks</i>	5,280,434.48	3,538,258.69
4 Other Income	85,266.01	
5 Total Income before Expenses	41,810,742	20,773,675
EXPENSES		
6 Trust and Management Fee	2,300,226	1,483,170
7 Other Expenses (Specify)	26,234	21,591
8 Total Expenses	2,326,460	1,504,761
NET INCOME BEFORE TAX	39,484,282	19,268,915
9 Taxes (Specify)	5,373,906	4,186,424
10 Adjustments	0	
NET INCOME AFTER TAXES	34,110,376	15,082,490
RETURN ON INVESTMENTS	5.74%	3.22%